### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Yencho, Stephen A.; et. al.

Assignee:

Cardica, Inc.

Title:

Method and System for Attaching a Graft to a Blood Vessel

Serial No.:

Not yet assigned

Filing Date:

November 14, 2003

Examiner:

Not yet assigned

Group Art Unit: Not yet assigned

Docket No.:

148

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner. In accordance with 37 CFR § 1.98 (d) and MPEP 609(I)(A)(2), copies of the references listed on the form PTO-1449 and explanations of relevance of any non-English-language references are not included, because these references have been submitted or cited by an Examiner in the parent applications to this continuation application.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

Brian A. Schar

Attorney for Applicant(s)

Reg. No. 45,076

Cardica, Inc.

900 Saginaw Drive

Redwood City, CA 94063

(650) 331-7162

### LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO. 148

SERIAL NO. Not yet assigned

APPLICANT:

Yencho, Stephen A.; et. al.

FILING DATE:

GROUP:

Not yet assigned November 14, 2003

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	3,254,650	06/06/1966	Collito			
	3,254,651	06/07/1996	Collito			
	3,519,187	07/07/1970	Kapitanov et. al.			
	3,774,615	11/27/1973	Lim et. al.			
	4,118,806	10/10/1978	Porier et. al.			
	4,214,587	07/29/1980	Sakura, Jr.			
	4,217,664	08/19/1980	Faso			
	4,350,160	09/21/1982	Kolesov et. al.			101 - 100 - 100
	4,352,358	10/05/1982	Angelchik			
	4,366,819	01/04/1983	Kaster			
	4,368,736	01/18/1983	Kaster			
	4,382,445	05/10/1983	Sommers			
	4,503,568	03/12/1985	Madras			
	4,523,592	06/18/1985	Daniel			
	4,534,761	08/13/1985	Raible			
	4,553,542	11/19/1985	Schenck et. al.			
	4,577,631	03/25/1986	Kreamer			
	4,589,416	05/20/1986	Green			
	4,593,693	06/10/1986	Schenck			
	4,603,693	08/05/1986	Conta et. al.			
	4,607,637	08/26/1986	Berggren et. al.			
	4,624,255	11/25/1986	Schenck et. al.			
	4,624,257	11/25/1986	Schenck et. al.			

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# LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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SERIAL NO.
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APPLICANT:

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Yencho, Stephen A.; et. al.

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November 14, 2003

**GROUP:**Not yet assigned

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	4,625,727	12/02/1986	Leiboff			
	4,657,019	04/14/1987	Walsh et. al.			
	4,665,906	05/19/1987	Jervis		-	7.7
	4,721,109	01/26/1988	Healey			
	4,733,664	03/29/1988	Kirsch et. al.			<del></del>
	4,747,407	05/31/1988	Liu et. al.			
	4,752,024	06/21/1988	Green et. al.			
	4,861,330	08/29/1989	Voss			
	4,883,453	11/28/1989	Berry et. al.			
	4,892,098	01/09/1990	Sauer			
	4,907,591	03/13/1990	Vasconcellos et. al.			
	4,917,087	04/17/1990	Walsh et. al.			
	4,917,090	04/17/1990	Berggren et. al.			
	4,917,091	04/17/1990	Berggren et. al.			
	4,930,674	06/05/1990	Barak			
	4,966,602	10/30/1990	Rebuffat et. al.			
	5,005,749	04/09/1991	Aranyi			-
	5,015,238	05/14/1991	Solomon et. al.			
	5,062,842	11/05/1991	Tiffany			
	5,089,006	02/18/1992	Stiles			•
	5,104,025	04/14/1992	Main et. al.			<del></del>
	5,119,983	06/09/1992	Green et. al.			
	5,129,913	07/14/1992	Ruppert			

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# LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.	SERIAL NO.
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APPLICANT:

Yencho, Stephen A.; et. al.

FILING DATE: November 14, 2003 GROUP:

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		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,156,613	10/20/1992	Sawyer			
	5,156,619	10/20/1992	Ehrenfeld			
	5,171,262	12/15/1992	MacGregor			
	5,178,634	01/12/1993	Ramos Martinez			
	5,187,796	02/16/1993	Wang et. al.			
	5,192,289	03/09/1993	Jessen			
	5,193,731	03/16/1993	Aranyi			
	5,205,459	04/27/1993	Brinkerhoff et. al.			
	5,211,683	05/18/1993	Maginot			
	5,217,474	06/08/1993	Zacca et. al.			
	5,221,281	06/22/1993	Klicek			<del></del>
	5,222,963	06/29/1993	Brinkerhoff et. al.			
	5,234,447	08/10/1993	Kaster et. al.			
	5,250,058	10/05/1993	Miller et. al.			
	5,250,060	10/05/1993	Carbo et. al.			
	5,271,544	12/21/1993	Fox et. al.		·	
	5,275,322	01/04/1994	Brinkerhoff et. al.			
	5,285,945	02/15/1994	Brinkerhoff et. al.			
	5,290,298	03/01/1994	Rebuffat et. al.			
	5,292,053	03/01/1994	Bilotti et. al.			
	5,304,220	04/19/1994	Maginot			4
	5,314,435	05/24/1994	Green et. al.			
	5,314,468	05/24/1994	Martinez			

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APPLICANT:

Yencho, Stephen A.; et. al.

FILING DATE: November 14, 2003 GROUP: Not yet assigned

**U.S. PATENT DOCUMENTS FILING** SUB **EXAMINER** CLASS **CLASS** DATE NAME INITIAL **DOCUMENT NUMBER** DATE 08/02/1994 Main et. al. 5,333,773 08/09/1994 Chen 5,336,233 09/13/1994 Regula et. al. 5,346,501 09/27/1994 Main et. al. 5,350,104 10/11/1994 Ko 5,354,302 11/15/1994 Anderson 5,364,389 11/22/1994 Kaster et. al. 5,366,462 02/28/1995 Green et. al. 5,392,979 03/07/1995 Kuramoto et. al. 5,395,030 03/07/1995 Andrews 5,395,311 08/22/1995 Venbrux 5,443,497 09/05/1995 Gerry et. al. 5,447,514 10/03/1995 Van Leeuwen 5,454,825 Maginot 10/10/1995 5,456,712 10/10/1995 Owen 5,456,714 Ryan et. al. 5,464,449 11/07/1995 11/14/1995 Knodel et. al. 5,465,895 12/26/1995 Tovey et. al. 5,478,354 Sauer et. al. 04/05/1996 5,503,635 05/07/1996 Wang 5,515,478 06/04/1996 Fonger et. al. 5,522,834 06/04/1996 Wang et. al. 5,524,180 07/09/1996 Main et. al. 5,533,661

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DATE CONSIDERED:

## LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 148

SERIAL NO.

Not yet assigned

APPLICANT:

Yencho, Stephen A.; et. al.

FILING DATE: November 14, 2003 **GROUP:**Not yet assigned

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EVALUED I		0.3.17	TENT DOCUMENTS		SUB	FILING
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS	DATE
	5,540,677	07/30/1996	Sinofsky		·	
	5,553,198	09/03/1996	Wang et. al.			
	5,556,405	09/17/1996	Lary			
	5,558,667	09/24/1996	Yarborough et. al.			
	5,571,167	11/06/1996	Maginot			
	5,643,340	07/01/1997	Nunokawa			
	5,645,520	07/08/1997	Nakamura et. al.			
	5,657,429	08/15/1997	Wang et. al.			
· .	5,669, 918	09/23/1997	Balazs et. al.			, <u> </u>
	5,669,934	09/23/1997	Sawyer			
	5,676,670	10/14/1997	Kim			· ·
	5,693,088	12/02/1997	Lazarus			
	5,695,504	12/09/1997	Gifford III et. al.			
	5,702,412	12/30/1997	Popov			
	5,707,362	01/13/1998	Yoon			
	5,707,380	01/13/1998	Hinchliffe et. al.			
	5,707,395	01/13/1998	Li			···-
	5,709,335	01/20/1998	Heck			
	5,709,693	01/20/1998	Taylor			
	5,725,529	03/10/1998	Nicholson et. al.			
	5,725,544	03/10/1998	Rygaard	,		
	5,725,553	03/10/1998	Moenning			
,	5,732,872	03/31/1998	Bolduc et. al.			

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### LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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SERIAL NO. Not yet assigned

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Yencho, Stephen A.; et. al.

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November 14, 2003

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		U.S. PA	ITENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,754,741	05/19/1998	Wang et. al.			
	5,762,458	06/09/1998	Wang et. al.			
	5,779,718	07/14/1998	Green et. al.			
	5,792,135	08/11/1998	Madhani et. al.			
	5,797,900	08/25/1998	Madhani et. al.			······
	5,797,920	08/25/1998	Kim			
	5,799,661	09/01/1998	Boyd et. al.			
	5,799,857	09/01/1998	Robertson et. al.			
	5,807,377	09/15/1998	Madhani et. al.			
	5,814,073	09/29/1998	Bonutti			
	5,815,640	09/29/1998	Wang et. al.			. <u>.</u>
	5,817,113	10/06/1998	Gifford III et. al.			
	5,827,316	10/27/1998	Young et. al.			
	5,833,698	11/10/1998	Hinchliffe et. al.			
	5,841,950	11/24/1998	Wang et. al.			<u></u>
	5,843,127	12/01/1998	Li			

		3,693,309	UT/ 13/ 1777	Demoie			<b></b>	
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Heck et. al.

Wang et. al.

Spence et. al.

Ferrari et. al.

Wang et. al.

Gardiner et. al.

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

01/05/1999

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5,855,583

5,868,763

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ATTY. DOCKET NO.	SERIAL NO.
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APPLICANT:

Yencho, Stephen A.; et. al.

FILING DATE: November 14, 2003

GROUP: Not yet assigned

		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,904,697	05/18/1999	Gifford III et. al.			
	5,907,664	05/25/1999	Wang et. al.			
	5,911,036	06/08/1999	Wright et. al.			
	5,915,616	06/29/1999	Viola et. al.			
	5,921,995	07/13/1999	Kleshinski			
	5,944,730	08/31/1999	Nobles et. al.			
	5,947,363	09/07/1999	Bolduc et. al.			
	5,957,363	09/28/1999	Heck			
	5,968,089	10/19/1999	Krajicek			
	5,976,159	11/02/1999	Bolduc et. al.			· n-
	6,001,124	12/14/1999	Bachinski			
	6,007,544	12/28/1999	Kim			
	6,013,190	01/11/2000	Berg et. al.			
	6,015,416	01/18/2000	Stefanchik et. al.			
	6,022,367	02/08/2000	Sherts			
	6,024,748	02/15/2000	Manzo et. al.			
	6,030,370	02/29/2000	Kupka et. al.			
	6,030,395	02/29/2000	Nash et. al.			
	6,036,699	03/14/2000	Andreas et. al.			
	6,036,700	03/14/2000	Stefanchik et. al.			
	6,036,702	03/14/2000	Bachinski et. al.			
	6,036,70●	03/14/2000	Evans et. al.			
	6,036,704	03/14/2000	Yoon			

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# LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.	SERIAL NO.
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APPLICANT:

Yencho, Stephen A.; et. al.

FILING DATE: November 14, 2003 GROUP:

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		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,036,705	03/14/2000	Nash et. al.			
	6,050,472	04/18/2000	Shibata			
	6,053,390	04/25/2000	Green et. al.			
	6,056,762	05/02/2000	Nash et. al.			
	6,066,144	05/23/2000	Wolf et. al.			
	6,066,148	05/23/2000	Rygaard			
	6,068,637	05/30/2000	Popov et. al.			
	6,074,416	06/13/2000	Berg et. al.			
	6,080,167	06/27/2000	Lyell		<u>.</u>	
	6,080,173	06/27/2000	Williamson IV et. al.			
	6,083,234	07/04/2000	Nicholas et. al.			
	6,110,188	08/29/2000	Narciso, Jr.			-
	6,113,612	09/05/2000	Swanson, et. al.			
	6,117,148	09/12/2000	Ravo et. al.			
	6,120,432	09/19/2000	Sullivan et. al.			
	6,146,393	11/14/2000	Wakabayashi			
	6,149,681	11/21/2000	Houser et. al.			
	6,152,937	11/28/2000	Peterson et. al.			
	6,152,945	11/28/2000	Bachinski et. al.			
	6,165,185	12/26/2000	Shennib et. al.			
	6,167,889	01/02/2001	Benetti			·
	6,171,319	01/09/2001	Nobles et. al.			
	6,171,321	01/09/2001	Gifford III et. al.			

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ATTY. DOCKET NO.	SERIAL NO.	
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APPLICANT:

Yencho, Stephen A.; et. al.

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·-		U.S. PA	TENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	6,176,413	01/23/2001	Heck et. al.			
	6,176,864	01/23/2001	Chapman			
	6,186,942	02/13/2001	Sullivan et. al.			
	6,187,019	02/13/2001	Stefanchik et. al.			
	6,187,020	02/13/2001	Zegdi et. al.			
	6,190,396	02/20/2001	Whitin et. al.			
	6,190,397	02/20/2001	Spence et. al.			
	6,190,590	02/20/2001	Randall et. al.			
	6,193,129	02/27/2001	Bittner et. al.			
	6,193,734	02/27/2001	Bolduc et. al.			
	6,206,912	03/27/2001	Goldsteen et. al.			
	6,206,913	03/27/2001	Yencho et. al.			
	6,235,054	05/22/2001	Berg et. al.		-	
	6,241,741	06/05/2001	Duhaylongsod et. al.			
·	6,293,965	09/25/2001	Berg et. al.			
	6,451,048	09/17/2002	Berg et. al.			
	6,461,320	10/08/2002	Yencho et. al.			
	6,497,710	12/24/2002	Yencho et. al.			
	6,537,287	03/25/2003	Yencho et. al.		-	

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# LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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**SERIAL NO.**Not yet assigned

APPLICANT:

Yencho, Stephen A.; et. al. FILING DATE:

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		FUKEIGN	PATENT DOCUMENTS			TDANIC	LATION
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	YES	NO
	92/08513	05/29/1992	PCT		·		
·	96/25886	08/29/1996	PCT				
	97/25002	07/17/1997	PCT				
	97/27898	08/07/1997	PCT				
	97/31575	09/04/1997	PCT				
	97/47261	12/18/1997	PCT		-		
	98/07399	02/26/1998	PCT				
	98/19608	05/14/1998	PCT			<u> </u>	
	98/19618	05/14/1998	PCT				
	98/19625	05/14/1998	PCT				
	98/19629	05/14/1998	PCT			-	
	98/19630	05/14/1998	PCT				
	98/19631	05/14/1998	PCT		:		
	98/19632	05/14/1998	PCT				<u> </u>
	98/19634	05/14/1998	PCT				
	98/19636	05/14/1998	PCT			_	
	98/30153	07/16/1998	PCT_				
	98/37814	09/03/1998	PCT				
	98/40036	09/17/1998	PCT				
	98/42262	10/01/1998	PCT				
	98/47430	10/28/1998	PCT				
	98/55027	12/10/1998	PCT				
	99/08603	02/25/1999	PCT				

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1		FUREIGN P	ATENT DOCUMENTS			TDANIC	LATION
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	YES	NO
	99/17665	04/15/1999	PCT				
	99/18887	04/22/1999	PCT				
	99/21491	05/06/1999	PCT			_	
	99/37218	07/29/1999	PCT				
	98/38441	08/05/1999	PCT				
	99/38454	08/05/1999	PCT	· ·			
	99/40851	08/19/1999	PCT				
-	99/40868	08/19/1999	PCT				
	99/45848	09/16/1999	PCT				
	99/52481	10/21/1999	PCT				ļ
	99/62406	12/09/1999	PCT			ļ	
	99/62409	12/09/1999	PCT				
	99/62415	12/09/1999	PCT			ļ	
430===	99/63910	12/16/1999	PCT				<u> </u>
	99/65409	12/23/1999	PCT				
	00/09040	02/24/2000	PCT				ļ <u>.</u>
	00/10486	03/02/2000	PCT				ļ
	00/12013	03/09/2000	PCT				ļ
	00/15144	03/23/2000	PCT				
	00/15146	03/23/2000	PCT				ļ
	00/15147	03/23/2000	PCT				
	00/15148	03/23/2000	PCT				
	00/15149	03/23/2000	PCT				

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DATE CONSIDERED:

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APPLICANT:

Yencho, Stephen A.; et. al.

FILING DATE: November 14, 2003 **GROUP:**Not yet assigned

		FOREIGN	PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	LATION NO
	00/27310	05/18/2000	PCT				
	00/27311	05/18/2000	PCT				
	00/27312	05/18/2000	PCT_				
	00/27313	05/18/2000	PCT				
	00/33745	06/15/2000	PCT				
	00/41633	07/20/2000	PCT			<u> </u>	
	00/53104	09/14/2000	PCT				
	00/56223	09/28/2000	PCT				
	00/56226	09/28/2000	PCT				
	00/56227	09/28/2000	PCT				
	00/56228	09/28/2000	PCT				
	00/59380	10/12/2000	PCT_				-
	00/66007	11/09/2000	PCT			<u> </u>	
	00/66009	11/19/2000	PCT			ļ	
	00/69343	11/23/2000	PCT			ļ	
	00/69346	11/23/2000	PCT				<u> </u>
	00/69349	11/23/2000	PCT				
	00/69364	11/23/2000	PCT				
	00/72764	12/07/2000	PCT				
	00/74579	12/14/2000	PCT			ļ	
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**EXAMINER:** 

DATE CONSIDERED:

# LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Us s v ral she ts if n cessary)

ATTY. DOCKET NO.	SERIAL NO.
48	Not yet assigned

APPLICANT:

Yencho, Stephen A.; et. al.

FILING DATE:

GROUP:

November 14, 2003 Not yet assigned

		FOREIGN	PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS YES	LATION NO
	01/15607	03/08/2001	PCT				
	01/17440	03/15/2001	PCT				
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